FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |      |  |  |  |  |  |  |  |  |  |  |
|--------------------------|------|--|--|--|--|--|--|--|--|--|--|
|                          |      |  |  |  |  |  |  |  |  |  |  |
| OMB Number: 3235-0       |      |  |  |  |  |  |  |  |  |  |  |
| Estimated average burden |      |  |  |  |  |  |  |  |  |  |  |
| hours per response       | . 05 |  |  |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Ridley Irina         |  |  |        |                | 2. Issuer Name <b>and</b> Ticker or Trading Symbol NeuroPace Inc [ NPCE ] |  |  |  |                     |   |                            |              | (Chec   | k all app<br>Direc  | onship of Reporting<br>Il applicable)<br>Director<br>Officer (give title |    | Person(s) to Issue<br>10% Owne<br>Other (spe |             |  |
|---|--|--|--------|----------------|---|--|--|--|---------------------|---|----------------------------|--------------|---|---|--|----|--|-------------|--|
| (Last) (First) (Middle) 455 N. BERNARDO AVENUE                |  |  |        |                | 3. Date of Earliest Transaction (Month/Day/Year) 02/20/2023               |  |  |  |                     |   |                            | X            | below   |   |  |    | ·  |             |  |
| (Street) MOUNT VIEW   | AIN CA   | A 9.                                       | 4043   |                | 4. If Amendment, Date of Original Filed (Month/Day/Year)                  |  |  |  |                     |   |                            | )            | 6. Indi<br>Line)<br>X                             | ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person |  |    |  |             |  |
| (City)  | (St  | ate) (Z                                    | (ip)   |                |   |  |  |  |                     |   |                            |              |   |   |  |    |  |             |  |
|   |  | Table                                      | I - No | n-Deriva       | tive S  | Secu   | rities   | Acq  | uired,              | , Dis   | posed of                   | , or E       | Benef   | icially   | / Own  | ed |  |             |  |
| 1. Title of Security (Instr. 3)  2. Transacti Date (Month/Day |  |  |        |                | Execution Date,   |  | 3. Transaction Code (Instr. 8)  4. Securities Acquired (Disposed Of (D) (Instr. 5) |  |                     |   | Securities<br>Beneficially |              | Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |    |  |             |  |
|   |  |  |        |                |   |  |  | Code   | v                   | Amount (A) (C)  |                            | or Pi        | rice  | Transaction(s)<br>(Instr. 3 and 4)  |  |    |  | (111341. 4) |  |
| Common Stock 02/20/20   |  |  |        |                | 2023  |  | A  |  | 80,000(1)           | ) /   | 1 \$                       | 0.00 141,139 |   | 1,139   | D  | ,  |  |             |  |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |        |                |   |  |  |  |                     |   |                            |              |   |   |  |    |  |             |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | if any | ution Date, Ti |   | sansaction de (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |  | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Inst<br>3 and 4) |                            | De Se (In:   | Price of rivative curity str. 5)                  | 9. Number<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4)            | Ownersh<br>Form:<br>Direct (D<br>or Indire<br>(I) (Instr.                |    | Beneficial<br>Ownership<br>t (Instr. 4)      |             |  |
|   |  |  |        |                | Code V  |  | (A)  | (D)  | Date<br>Exercisable |   | Expiration<br>Date         | Title        | Numb<br>of<br>Share                               |   |  |    |  |             |  |

## **Explanation of Responses:**

1. Each share is represented by a restricted stock unit ("RSU"). Each RSU represents a contingent right to receive one share of the Issuer's common stock upon settlement. 25% of the RSUs vest on February 20, 2024 and the remaining RSUs vest in eight (8) equal quarterly installments thereafter.

## Remarks:

/s/ Irina Ridley

02/22/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.